#### "The future is flavorful" June 5, 2024

# How well do we understand the proton?

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# Outline

- Proton as an composite fundamental particle: constituent quark model, parton model and QCD
- Flavor dependence of partonic structures:
  - Unpolarized and polarized PDFs of sea quarks
  - TMD Sivers function of valence quarks
- U.S. EIC program
- Summary

### Composition of the Universe



#### Rutherford experiment (1913) : Nucleus and Sub-atomic Structure





Hans Geiger

Ernes

Ernest Marsden





http://psroc.phys.ntu.edu.tw/bimonth/v29/732.pdf

1 fm

#### Quark: the Eightfold Way

#### The Nobel Prize in Physics 1969





Photo from the Nobel Foundation archive. Murray Gell-Mann

"for his contributions and discoveries concerning the classification of elementary particles and their interactions"



### **Puzzle of Proton Charge Radius**



https://www.nature.com/articles/d41586-019-03364-z

#### Constituent Quark Model



# Deep Inelastic Scattering (~1970)

#### The Nobel Prize in Physics 1990



""for their pioneering investigations concerning deep inelastic scattering of electrons on protons and bound neutrons, which have been of essential importance for the development of the quark model in particle physics"



### Quantum Chromo-Dynamics (QCD)

$$L = \sum_{f} \overline{\psi}_{f}^{\alpha} \Big[ i\gamma^{\mu} \partial_{\mu} \delta_{\alpha\beta} - g\gamma^{\mu} T_{a\alpha\beta} A_{\mu}^{a} - m_{f} \delta_{\alpha\beta} \Big] \psi_{f}^{\beta} - \frac{1}{4} G_{\mu\nu}^{a} G_{\mu\nu}^{\mu\nu}$$
$$G_{\mu\nu}^{a} = \partial_{\mu} A_{\nu}^{a} - \partial_{\mu} A_{\nu}^{a} - gf^{abc} A_{\mu}^{b} A_{\nu}^{c}$$

Color index:  $\alpha$ ,  $\beta$ =1, 2, 3, N<sub>c</sub>=3; a, b, c = 1,2,...,8 for SU(3) Lorentz index:  $\mu$ ,  $\nu$ =0, 1, 2, 3 Spinor index: I, j = 1, 2, 3, 4 Flavor index: f=1,6 T and generator of SU(2) color group

 $T_{a\alpha\beta}$ : generator of SU(3) color group  $f^{abc}$ : structure constant of SU(3) color group



gluon exchange by 2 quarks

#### Proton in PDG

N BARYONS ( $S$ = 0, $I$ = 1/2) $p, N^+$ = $u \ u \ d; \ n, \ N^0$ = $u \ d \ d$	PDGID:S016 JSON	INSPIRE <b>Q</b>
$p$ I(J <sup>P</sup> ) = 1/2(1/2^+)	https://pdglive.lbl.gov/Particle.action?init=0&node=S016&home=	BXXX005
p MASS (atomic mass units u)	$1.007276466621 \pm 0.00000000053$ u	~
p MASS (MeV)	$938.27208816 \pm 0.00000029$ MeV	~
$ m_p-m_{\overline{p}} /m_p$	$< 7  imes 10^{-10}$ CL=90.0%	~
$\overline{p}/p$ charge-to-mass ratio, $ rac{q_p}{m_p} /(rac{q_p}{m_p})$	$(\frac{l_p}{l_p})$ 1.0000000003 ± 0.0000000016	~
$(\left rac{q_p}{m_p} ight -rac{q_p}{m_p})/rac{q_p}{m_p}$	$(0.3\pm 1.6) imes 10^{-11}$	~
$ q_p + q_{\overline{p}} /e$	$< 7  imes 10^{-10}$ CL=90.0%	~
$ q_p+q_e /e$	$< 1  imes 10^{-21}$	~
p magnetic moment	$2.7928473446 \pm 0.000000008  \mu_N$	~
$\overline{p}$ magnetic moment	$-2.792847344\pm 0.00000004\mu_N$	~
$(\mu_p+\mu_{\overline{p}}) \ / \ \mu_p$	$(2\pm4) imes10^{-9}$	~
p ELECTRIC DIPOLE MOMENT	$< 2.1  imes 10^{-25}  e$ cm	~
$p$ ELECTRIC POLARIZABILITY $lpha_p$	$0.00112 \pm 0.00004~{ m fm}^3$	~
$p$ magnetic polarizability $eta_p$	$(2.5\pm0.4) imes10^{-4}$ fm $^3$ (S = 1.2)	~
p CHARGE RADIUS	$0.8409\pm0.0004$ fm	~
p magnetic radius	$0.851\pm0.026$ fm	~
p mean life	$> 9  imes 10^{29}$ years <code>CL=90.0%</code>	~
$\overline{p}$ MEAN LIFE		~

#### **Decomposition of Proton**





# Mass/Spin Decomposition of Proton (Lattice QCD)



Can the nucleon mass and spin be understood by its partonic structure?

#### Pressure distribution of Proton

Nature 557, 396 (2018)



**Fig. 1** | **Radial pressure distribution in the proton.** The graph shows the pressure distribution  $r^2p(r)$  that results from the interactions of the

#### Naïve Expectation of Sea SU(3) Symmetric



F.E. Close, "An Introduction to Quarks and Partons"

33316

## **Gottfried Sum**



New Muon Collaboration (NMC), Phys. Rev. D50 (1994) R1

 $S_G = 0.235 \pm 0.026$ 

(Significantly lower than 1/3!)

## **Drell-Yan Process**

#### S.D. Drell and T.M. Yan, PRL 25 (1970) 316



#### MASSIVE LEPTON-PAIR PRODUCTION IN HADRON-HADRON COLLISIONS AT HIGH ENERGIES\*

Sidney D. Drell and Tung-Mow Yan

Stanford Linear Accelerator Center, Stanford University, Stanford, California 94305 (Received 25 May 1970)

On the basis of a parton model studied earlier we consider the production process of large-mass lepton pairs from hadron-hadron inelastic collisions in the limiting region,  $s \rightarrow \infty$ ,  $Q^2/s$  finite,  $Q^2$  and s being the squared invariant masses of the lepton pair and the two initial hadrons, respectively. General scaling properties and connections with deep inelastic electron scattering are discussed. In particular, a rapidly decreasing cross section as  $Q^2/s \rightarrow 1$  is predicted as a consequence of the observed rapid falloff of the inelastic scattering structure function  $\nu W_2$  near threshold. PRL 25 (1970) 1523



#### **Dimuon Invariant Mass Spectrum**



### x-dependence of Sea Quarks

Acceptance for fixed-target experiment:



$$\frac{\sigma^{pd}}{2\sigma^{pp}} | x_{\text{beam}} >> x_{\text{target}} \approx \frac{1}{2} \left[ 1 + \frac{\overline{d}(x_{\text{target}})}{\overline{u}(x_{\text{target}})} \right]$$

### Light Antiquark Flavor Asymmetry: Drell-Yan Experiments

- Naïve Assumption:  $\bar{d}(x) = \bar{u}(x)$
- NMC (Gottfried Sum Rule):  $\int_0^1 \left[ \bar{d}(x) - \bar{u}(x) \right] dx \neq 0$
- NA51 (Drell-Yan, 1994):  $\bar{d} > \bar{u}$  at x = 0.18
- E866/NuSea (Drell-Yan, 1998):

 $\bar{d}(x)/\bar{u}(x)$  for  $0.015 \le x \le 0.35$ 





#### Pauli Exclusive Principle Field and Feynman, PRD 15, 2590 (1977)

There is no reliable neutrino information separating  $\overline{u}$  from  $\overline{d}$ , but the ep data tell us that the integral

$$\int_{0}^{1} \left[ \nu W_{2}^{ep}(x) - \nu W_{2}^{en}(x) \right] \frac{dx}{x} = \int_{0}^{1} \frac{1}{3} (u + \overline{u} - d - \overline{d}) dx$$
$$= \frac{1}{3} + \frac{2}{3} \int_{0}^{1} (\overline{u} - \overline{d}) dx \qquad (2.6)$$

using the sum rules (2.2). Experimentally this integral is hard to determine for it depends on small differences near x = 0. It seems, however, to be distinctly less than  $\frac{1}{3}^8$  (from the data of Figs. 2 and 3(b) one gets about 0.27), indicating  $\overline{u} < \overline{d}$ (although, of course, they must be equal as  $x \to 0$ ). A likely physical reason for this is the presence of more of what are called "valence" u quarks than

d quarks, so the pairs  $u\overline{u}$  expected to occur in the small x region (the "sea") are suppressed more than  $d\overline{d}$  pairs by the exclusion principle. We have



# Origin of $\bar{u}(x) \neq \bar{d}(x)$ : pQCD effect?

- Pauli blocking
  - $g \rightarrow u\bar{u}$  is more suppressed than  $g \rightarrow d\bar{d}$  in the proton since |p>=|uud> (*Field and Feynman 1977*)
  - pQCD calculation (Ross & Sachrajda, NPB149 (1979) 497)



The perturbative effect is too small to explain the antiquark asymmetry!

# Origin of $\overline{u}(x) \neq \overline{d}(x)$ : Non-perturbative QCD effect



 Meson cloud in the nucleons (Thomas 1983, Kumano 1991): Sullivan process in DIS.



• Chiral quark model (Eichten et al. 1992; Wakamatsu 1992): Goldstone bosons couple to valence quarks.

$$\begin{array}{c} \pi^{+}(\mathbf{ud}) \\ \mathbf{u} \\ \mathbf{u} \\ \mathbf{d} \\ \mathbf{p} \\ \mathbf{d} \\$$

Pion cloud is a source of antiquarks in the protons and it lead to  $\overline{d} > \overline{u}$ .

### Flavor structure of nucleon sea



*"Flavor structure of the nucleon sea"*, Wen-Chen Chang and Jen-Chieh Peng Progress in Particle and Nuclear Physics 79 (2014) 95; arXiv:1406.1260

# **Chiral Pion Cloud Model:**



# $\overline{d}(x)/\overline{u}(x)$ vs. PDFs



#### CT18NLO: PRD 103 (2021) 014013



Tension shows up with the collider data!

## $\overline{d}(x)/\overline{u}(x)$ Measured by FNAL E906/SeaQuest Experiment



Fermilab E906

- $x_B x_T = \frac{M}{s}$ ; smaller s, larger  $x_T$
- Unpolarized Drell-Yan using 120 GeV proton beam from Main Injector
- <sup>1</sup>H, <sup>2</sup>H, and nuclear targets



# E906/SeaQuest Timeline

#### • Schedules:

- 2002: E906 Approved by Fermilab PAC
- 2006: E906 funded by DOE Nuclear Physics
- 2008: With participation of Japan and Taiwan groups, Stage-II approval by Fermilab Director. MOU between Fermilab and E906 Collaboration finalized.
- 2009-2010: Construction and installation of spectrometer and readout electronics.
- The commission of experiment was originally scheduled to start in September 2010. Unfortunately a leakage of the upstream beam pipe was found, and FNAL spent a lot of efforts in fixing it up.
- Run 1 (Mar. 2012 Apr., 2012): commissioning run
- Run 2 (Nov. 2013 Sep., 2014): 1st physics run
- Run 3 (Nov. 2014 Jul., 2015): 2nd physics run
- Run 4 (Oct. 2015 Aug., 2016): 3rd physics run
- Run 5 (Nov. 2016 Jul., 2017): 4th physics run

## Nature 590, 561-565 (2021)

#### Article

#### The asymmetry of antimatter in the proton

https://doi.org/10.1038/s41586-021-03282-z

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The fundamental building blocks of the proton-quarks and gluons-have been known for decades. However, we still have an incomplete theoretical and



 $d/\overline{u}(x)$ 

#### Extracting $\bar{d}/\bar{u}(x)$ by NLO calculations of $\sigma_D(x)/2\sigma_H(x)$



The trends between SeaQuest and NuSea at large x are quite different. No explanation is found for these differences.

 $d/\overline{u}(x)$ 



The extracted  $\overline{d}/\overline{u}(x)$  are consistent with CT18NLO and predictions of pion-cloud model.

# Asymmetry of $\Delta \overline{d}(x)$ and $\Delta \overline{u}(x)$



#### **Multi-dimensional Partonic Structures**



#### Leading-Twist Transverse-momentum Dependent **Parton Density Function** (TMDs)





• A nonzero Sivers function is considered to be strong evidence for the presence of quark orbital angular momentum. 37



Avakian et al., Eur. Phys.J. A52 (2016) 150

## Polarization-dependent Terms: Transverse Spin Asymmetry $A_{UT}$

$$A_{UT} = \frac{F_{UT}}{F_{UU}} = \frac{1}{fS_T} \frac{N^{\uparrow} - N^{\downarrow}}{N^{\uparrow} + N^{\downarrow}}$$

f: dilution factor due to non-polarizable component of the target

 $S_T$ : polarization degree of transverse spin

- Advantage: most of the systematics due to instrumental artifacts cancel.
- **Disadvantage**: the unpolarized structure function  $F_{UU}$  has to be well known.

# Sivers Asymmetry $A_{Siv}$ in SIDIS (Left-Right Asymmetry w.r.t. $S_T$ )

The orbital motion of an u quark inside a proton causes positively charged pions  $(u\overline{d})$  to fly off predominantly to beam-left.





$$A_T^h = \frac{d\sigma(\vec{S}_T) - d\sigma(-\vec{S}_T)}{d\sigma(\vec{S}_T) + d\sigma(-\vec{S}_T)} = \left|\vec{S}_T\right| \cdot \left[D_{NN} \cdot A_{Coll} \cdot \sin(\phi_h + \phi_S - \pi) + A_{Siv} \cdot \sin(\phi_h - \phi_S)\right]$$

$$40$$

# Polarized NH<sub>3</sub> Target



# Polarized NH<sub>3</sub> Target





He-3 precooler 80 K Thermal radiation shields 6 Microwave cavity (7 4.2 K Thermal radiation shields (8) **Dilution refrigerator** 3 Target cells Target holder 9 He-4 gas-liquid separator 4 (10)5 Magnets (0.6 T) Pulse tube cryocooler 1 m 180 mrad

Polarization: 70% Relaxation time: 1000 hrs

#### **Nonzero Sivers** Asymmetries from SIDIS

#### COMPASS, PLB 744 (2015) 250



Signals of flavor-dependent Sivers functions in SIDIS

PRD 86, 014028 (2012) 43 [arXiv:1204.1239]

х

d quark

-0.5

0.5

k<sub>x</sub>(GeV)

0.5

ky(GeV)

-0.5

J

0.5

k<sub>x</sub>(GeV)

0.5

ky(GeV)

-0.5

-0.5

#### Sign Change of Sivers Functions

J.C. Collins, Phys. Lett. B 536 (2002) 43 A.V. Belitsky, X. Ji, F. Yuan, Nucl. Phys. B 656 (2003) 165 D. Boer, P.J. Mulders, F. Pijlman, Nucl. Phys. B 667 (2003) 201 Z.B. Kang, J.W. Qiu, Phys. Rev. Lett. 103 (2009) 172001



- QCD gluon gauge link (Wilson line) in the initial state (DY) vs. final state interactions (SIDIS).
- Fundamental predictions from perturbative QCD and TMD physics will be tested.

# **COMPASS** Collaboration

(Common Muon and Proton Apparatus for Structure and Spectroscopy)

- 24 institutions from 13 countries – nearly 250 physicists
- Fixed-target experiment at SPS north area
- Physics programs:
  - Nucleon spin and partonic structures
  - Hadron spectroscopy





## 2015 and 2018 Drell-Yan Runs



#### Sivers Asymmetry in Drell-Yan: Hint of Sign Change!



#### Sivers Asymmetry in Drell-Yan: Hint of Sign Change!



Our results supports the general validity of the TMD approach!

#### U.S. Electron-Ion Collider and ePIC Collaboration Year >2035

AS













#### **Physics Goals**

- Precision 3D imaging of protons and nuclei ۲
- Solving the proton spin puzzle
- Search for gluon saturation
- Quark and gluon confinement
- Quarks and gluons in nuclei

## U.S. Electron-Ion Collider (EIC)



https://indico.phys.sinica.edu.tw/event/88/contributions/416/attachments/501/1250/EIC\_Status\_NCKU\_012924.pdf

#### **EIC Schedule**



#### **Explored Regions**



#### TIDC Autumn School On EIC (NTU, Aug. 29-31, 2023)



#### The 3<sup>rd</sup> EIC-ASIA Workshop (NCKU, Jan. 29-31, 2024)







- Proton, a fundamental particle, is more than a bound state of 3 static quarks. It contains rich dynamics of valence quarks, sea quarks and gluons therein.
- Mostly due to the nonperturbative effects, interesting flavor dependences of PDFs and TMDs are observed!
- The flavorful aspect of proton partonic structures will be explored in the coming U.S. EIC.