


Irreducible Representations of $SO(3)$

EX: H_2O molecule with configuration list of
 $C = \{X_{O_1}, X_{H_1}, X_{H_2}\}$ ↗ atomic positions

↓ apply rotation $R \in SO(3)$

$$R \cdot C = \{RX_{O_1}, RX_{H_1}, RX_{H_2}\}$$

⇒ $\begin{cases} \text{Energy } E \text{ (scalar): doesn't change} \\ \text{Force } F_i \text{ (vector, per atom): rotated by } R \end{cases}$

 Is there a systematic way to label these transformation rules?

Math Recap

The irrep V^l

$$m = -l, -l+1, \dots, +l$$

$V^{(l)}$ = abstract vector space of dimension $2l+1$
 on which $SO(3)$ acts irreducibly

For a representation $D: G \rightarrow GL(V)$,
 V has no proper subspace invariant under all $D(g)$

(a) Label l : which irrep? how many components?

$l=0 \rightarrow 1$ component \Rightarrow scalar (e.g. energy)

$l=1 \rightarrow 3$ components \Rightarrow vector (e.g. vector)

$l=2 \rightarrow 5$ components \Rightarrow traceless symm. tensor

(b) Spherical harmonics Y_m^l

① $SO(3)$ acts on S^2 & on functions on S^2

② space $L^2(S^2) = \bigoplus_l V^l$ decomposes irreducibly

$\Rightarrow Y_m^l$, $m = -l, \dots, +l$ is the natural basis used to write irreps down concretely as functions on the sphere

(c) Wigner D-matrix

A rotation R becomes a matrix on V^l :

$$Y^l(R\hat{x}) = D^l(R)Y^l(\hat{x}),$$

$$D^l(R) \in \mathbb{R}^{(2l+1) \times (2l+1)} \longrightarrow \text{matrix of a linear map}$$

$$\Rightarrow \begin{cases} D^0(R) = 1 \Rightarrow \text{scalar unchanged} \\ D^1(R) = R \Rightarrow \text{vector rotated} \end{cases}$$

Application 1 Classify Physical Observables

1. Energy is $l=0$ irrep

$$E(R \cdot C) = E(C)$$

2. Energy is $l=1$ irrep

$$F_i(R \cdot C) = R \cdot F_i(C)$$

\Rightarrow Same physical configuration carries observables of different irrep types



What about $l=2$?

Many tensorial observables in physics are rank-2 Cartesian tensors (9 components), and they're **reducible** under $SO(3)$:

$$T^{ij} = T_r(T) + \tilde{S}^{ij} + A^{ij}$$

$l=0$ (scalar) $l=2$ (traceless sym) $l=1$ (anti-sym)

1 5 3

irreducible

$\mathbb{R}^{9 \times 9}$ **reducible** \rightarrow $\left(\begin{array}{c} \boxed{1 \times 1} \\ \boxed{3 \times 3} \\ \boxed{5 \times 5 \text{ new}} \end{array} \right) \quad 3 \otimes 3 = 1 \oplus 3 \oplus 5$

Coupling Rule $l_1 \otimes l_2$

$$l_1 \otimes l_2 = |l_1 - l_2| \oplus |l_1 - l_2| + 1 \oplus \dots \oplus (l_1 + l_2)$$

$$\uparrow |l_1 - l_2| \leq l_3 \leq l_1 + l_2 \quad \& \quad m_1 + m_2 = m_3$$

$$(U^{l_1} \otimes V^{l_2})_{m_3}^{l_3} = \sum_{m_1, m_2} \langle l_1, m_1; l_2, m_2 | l_3, m_3 \rangle U_{m_1}^{l_1} V_{m_2}^{l_2}$$

Clebsch-Gordan coeff.

(same numerical values as QM)

Application 2 Equivariant Graph Neural Networks

1. Features

o Node (atom-type embedding)

$$H_i = \bigoplus_{\ell=0}^{\ell_{\max}} H_i^{\ell}, \quad \dim H_i^{\ell} = 2\ell + 1$$

$$H_0^0 = E_{z=8}, \quad H_{H_1}^0 = H_{H_2}^0 = E_{z=1}$$

embedding table $E \in \mathbb{R}^{k \times d_0}$ ($H_i^0 = E z_i$)

o Edge (encode geometry)

↳ relative vector $r_{ij} = x_j - x_i$

{ distance $\sigma_{ij} = |r_{ij}| \rightarrow$ radial basis expansion
(invariant scalars) $\text{RBF}(\sigma_{ij}) \in \mathbb{R}^n$
direction $\hat{\sigma}_{ij} = \frac{r_{ij}}{\sigma_{ij}} \rightarrow$ spherical harmonic
(equivariant as the ℓ -irrep) $Y_{\ell}^{m}(\hat{\sigma}_{ij})$

2. Coupling (1 layer) (combine each neighbor's features)
↳ message passing $\times 1$

$$H_i^{\prime \ell_3, m_3} = \sum_{j \sim i} \sum_{\ell_1, \ell_2} W_{\ell_1, \ell_2, \ell_3}(\sigma_{ij}) \rightarrow \text{MLP}(\text{RBF}(\sigma_{ij}))$$

(a distance-dep. learnable scalar)

atom i 's updated feature (after this layer)

$$\sum_{m_1, m_2} \langle \ell_1, m_1; \ell_2, m_2 | \ell_3, m_3 \rangle H_j^{\ell_1, m_1} Y_{\ell_2}^{m_2}(\hat{\sigma}_{ij})$$

* $\ell_1 / \ell_2 / \ell_3 =$ input / edge / output irrep labels

EX: Simplest non-trivial path is

$l_1=0, l_2=1 \rightarrow l_3=1$ at atom O (for H_2O)

$$H_0^{1,1} = \sum_{j \in \{H_1, H_2\}} \underbrace{\omega_{0,1,1}(\delta_{0,j})}_{\text{scalars}} H_j^{0,0} \underbrace{Y^1(\hat{\delta}_{0,j})}_{D^1(R)=R}$$

$\therefore H_0^{1,1} \rightarrow R H_0^{1,1}$ is equivariant on an edge

3. Read-out (decode + pool)

o Total energy $E = \sum_i MLP(H_i^{0,L})$
sum of final scalar channels

o Force on atom i : $F_i = H_i^{1,L}$
final vector channel